

Docket No. 268104US0PCT



JC06 Rec'd PCT/PTO 25 AUG 2005 PCT
MAIL STOP PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

X3

IN RE APPLICATION OF: Hans-Ulrich PETEREIT, et al.

SERIAL NO: 10/539,808

GAU:

FILED: June 20, 2005

EXAMINER:

FOR: METHOD FOR PRODUCING PHARMACEUTICALS OR FOOD SUPPLEMENTS COMPRISING PIGMENTED POLYMER COATINGS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

268104US0PCT

SERIAL NO.

10/539,808

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Hans-Ulrich PETEREIT, et al.

FILING DATE

June 20, 2005

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 846 891	01/25/05	PETEREIT et al.			
	AB	6 624 210	09/23/03	PETEREIT et al.			
	AC	2003/0064036	04/03/03	PETEREIT et al.			
	AD	2004/0249035	12/09/04	PETEREIT et al.			
	AE	4 433 076	02/21/84	BAUER et al.			
	AF	4 705 695	11/10/87	LEHMANN et al.			
	AG	6 378 789	04/30/02	SEAMAN, Jr. et al.			
	AH	5 292 522	03/08/94	PETEREIT et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	00/05307	02/03/00	WO (with English abstract)		NO
	AP	0 519 870	12/23/92	EP		NO
	AQ	39 21 403	02/08/90	DE		NO
	AR	1 444 890	08/04/76	GB		NO
	AS	1 576 075	10/01/80	GB		NO
	AT	1 240 826	09/18/02	EP		NO
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	ABLETSHAUSER et al. "Film coating of pellets with insoluble polymers obtained in situ crosslinking in the fluidized bed", Journal of Controlled Release, vol. 27, pages 149-156, XP009025431 1993				
	AX					
	AY					
	AZ					<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
272301US0PCT	10/539,614	06/17/05		PETERIET, et al.
268104US0PCT*	10/539,808	06/20/05		PETERIET, et al.
268018US0PCT	10/529,636	03/31/05		PETERIET, et al.
238858US0DIV	6,846,891	01/25/05		PETERIET, et al.
200253US0PCT	6,624,210	09/23/03		PETERIET, et al.
226779US0PCT	10/239,634	09/24/02	US2003/0064036 A1	PETERIET, et al
249725US0PCT	10/489,856	03/23/04	US2004/0249035 A1	PETERIET, et al

*Present Application; listed for information
NFO/kch